

Multigenerational Legacy of Uranium Mining on the Navajo Nation



A community/research/tribal and federal agency partnership to determine the relationship between uranium exposure, birth outcomes, and development on the Navajo Nation

Johnnye Lewis, Ph.D.

*Director, Community Environmental Health Program (CEHP) COP UNM-HSC
PI, Diné Network for Environmental Health (DiNEH) & Navajo Birth Cohort Study
PI, UNM Metals Exposure & Toxicity Assessment on Tribal Lands in the SW (METALS) Team
Co-Director, NM CARES Environmental Health Core*



Environmental Health Core

With acknowledgement and thanks to the NBCS Team!

Current DiNEH & NBCS Teams

UNM-HSC

Johnnye Lewis, Ph.D.
David Begay, Ph.D.
Curtis Miller, Ph.D.
Eszter Erdei, Ph.D.
Courtney Burnette, Ph.D.
Laurie Hudson, Ph.D.
Deborah MacKenzie, Ph.D.
Lauren Hund, Ph.D.
Karen Cooper, Ph.D.
Matt Campen, Ph.D.
Jim Liu, Ph.D.
Chris Vining, MS, SLP
Becky Smith
Carla Chavez
Miranda Cajero
Bernadette Pacheco
Jennifer Ong
CJ Laselute
Malcolm Benally
Elena O'Donald, Ph.D.
Molly Harmon
Joseph Hoover, Ph.D.
Vanessa De La Rosa, Ph.D.
Erica Dashner, Ph.D.

(Navajo Team Members)

SRIC

Chris Shuey, MPH
Lynda Lasiloo
Sandy Ramone
Teddy Nez
Maria Welch

CDC/ATSDR

Angela Ragin-Wilson, Ph.D.
Candis Hunter, MSPH
Elizabeth Irvin-Barnwell, Ph.D.

NAIHS

Doug Peter, M.D.
Johnna Rogers, RN
Ursula Knoki-Wilson,
CNM, MSN
Charlotte Swindal, CNM, RN
Diedre Sam
Lorraine Barton
Lisa Kear, RN

PL-638 HOSPITALS

Delila Begay
Abigail Sanders

CONSULTANTS

Perry Charley
Adrienne Ettinger, Ph.D.

Navajo Nation NND OH

Mae-Gilene Begay
Anna Rondon
Qutarah Anderson
Roxanne Thompson
Melissa Samuel
Doris Tsinnijinnie
Josey Watson
Nikki Begay

NNEPA

Stephen Etsitty
Yolanda Barney
Freida White
Chandra Manandhar
Vivian Craig
Eugenia Quintana

USEPA – Region 9

Clancy Tenley
Linda Reeves
Harry Allen

And thank you to the many others who have contributed and supported this work!

The people of the Navajo Nation:

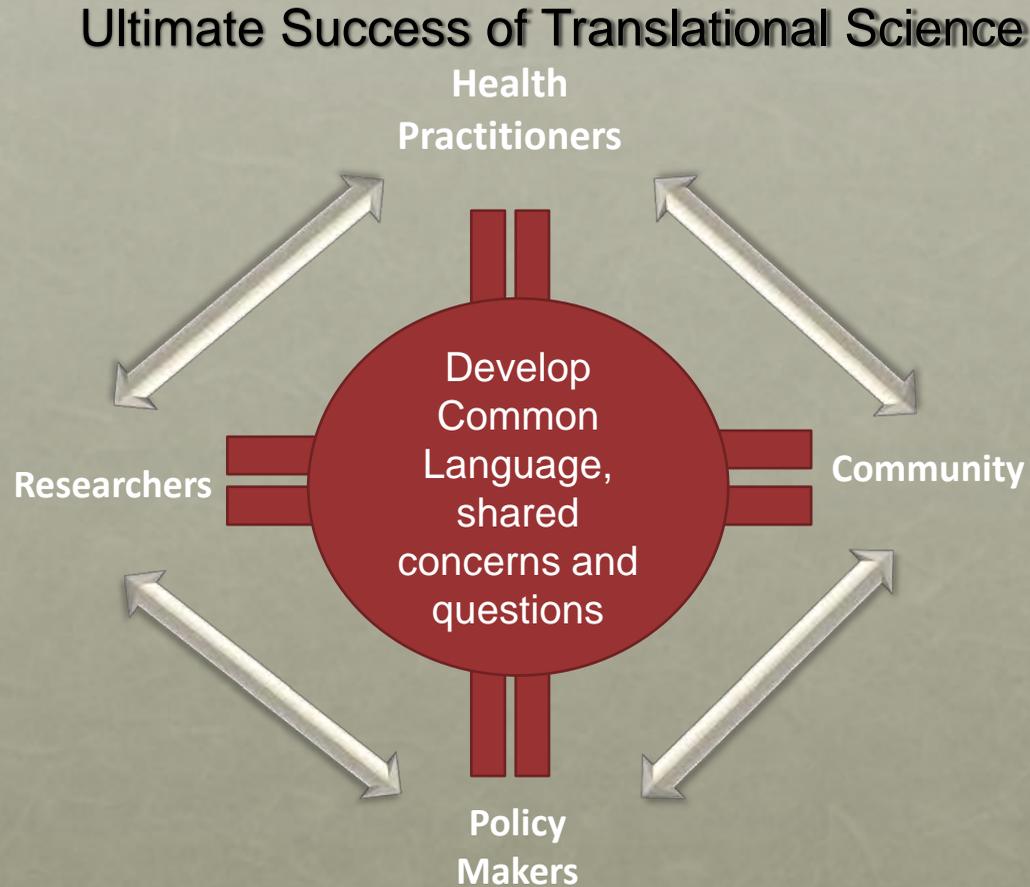
- 2000 Navajo families
- 110 chapters
- HEHSC, Tribal and Agency Councils, Executive Branch, NNEPA, GIB

Our funders:

- NIEHS (16 yrs)
- CDC/ATSDR (5 yrs)
- USEPA Region 9 Superfund Emergency Response (4yrs)
- NIMHHD (3 yrs)
- NNEPA (1 yr)
- NIAAA (2 yrs)

DiNEH and NBCS Research is reviewed and monitored by Navajo Nation Human Research Review Board

CEHP Research Model Since 1996



Iterative approach – follow the questions

Why the Navajo
Birth Cohort
Study?

How should the
research be
conducted?

Background

The PROBLEM

- **More than 40 years of Cold War mining on Navajo Nation**
 - > 500 abandoned, unmarked, unfenced mines left
 - > 1000 individual waste sites – all metal mixtures, all unremediated
- **Communities Concerned about effects → Congress, UN**
 - Concerned about Now and for *future generations*
 - Distrust research due to prior history of disrespect, abuse, secrecy
- **Highest percentage of tribal populations localized to 13 western states**
 - Highest concentration of abandoned uranium mines (>4000), as well as other hard-rock mines (>161,000) localized to same region



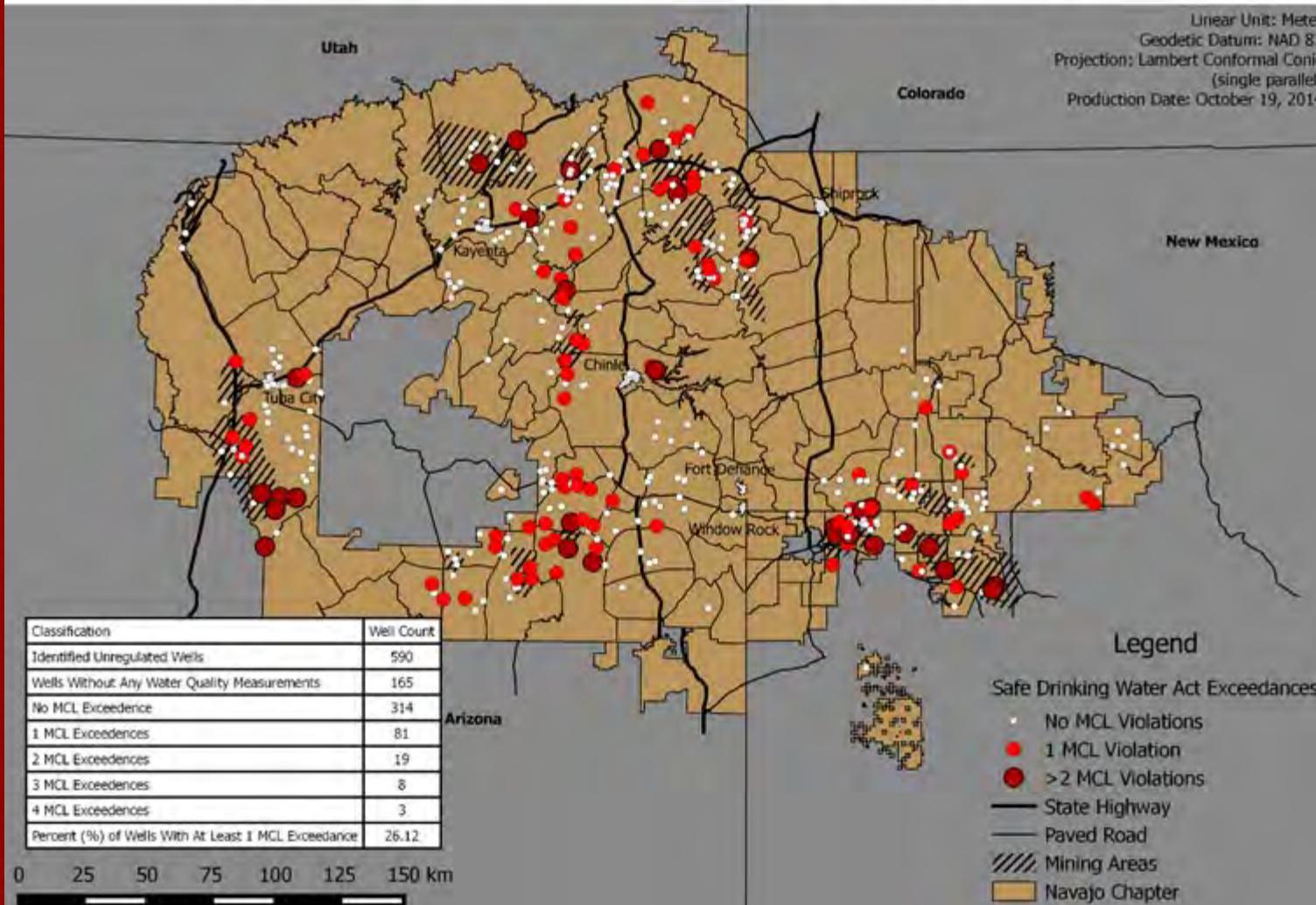
Health Risks to Tribal Populations

- **Little understanding of health risks in these populations**
 - Generally not accessible as a group in national datasets
 - Limited tribal-specific data, only proportional (~2%) representation (if any)
- **Differ in exposure pathways**
 - Differ in Land, Water, Resource Use Patterns, lower mobility
 - More subsistence lifestyles – greater reliance on locally grown foods → increased exposure risk
- **Many SES factors linked to health disparities**
 - Low income levels (household <\$20K median)
 - High unemployment (e.g. >40% Navajo, >75% Sioux)
 - Poor infrastructure (>30% lack access to regulated water)

Water-borne toxicants:

Inorganic Metals for 427/702 unregulated wells: DiNEH Project, USACE, USEPA, USGS, CRUMP, CDC/NNEPA

Safe Drinking Water Act Exceedances



Sampling bias in regions of known mining

DiNEH project sampled all wells used by participants for drinking in 20 chapters

Arsenic (~15%) and uranium (~13%) account for the majority of water sources (26%) exceeding the established MCL.

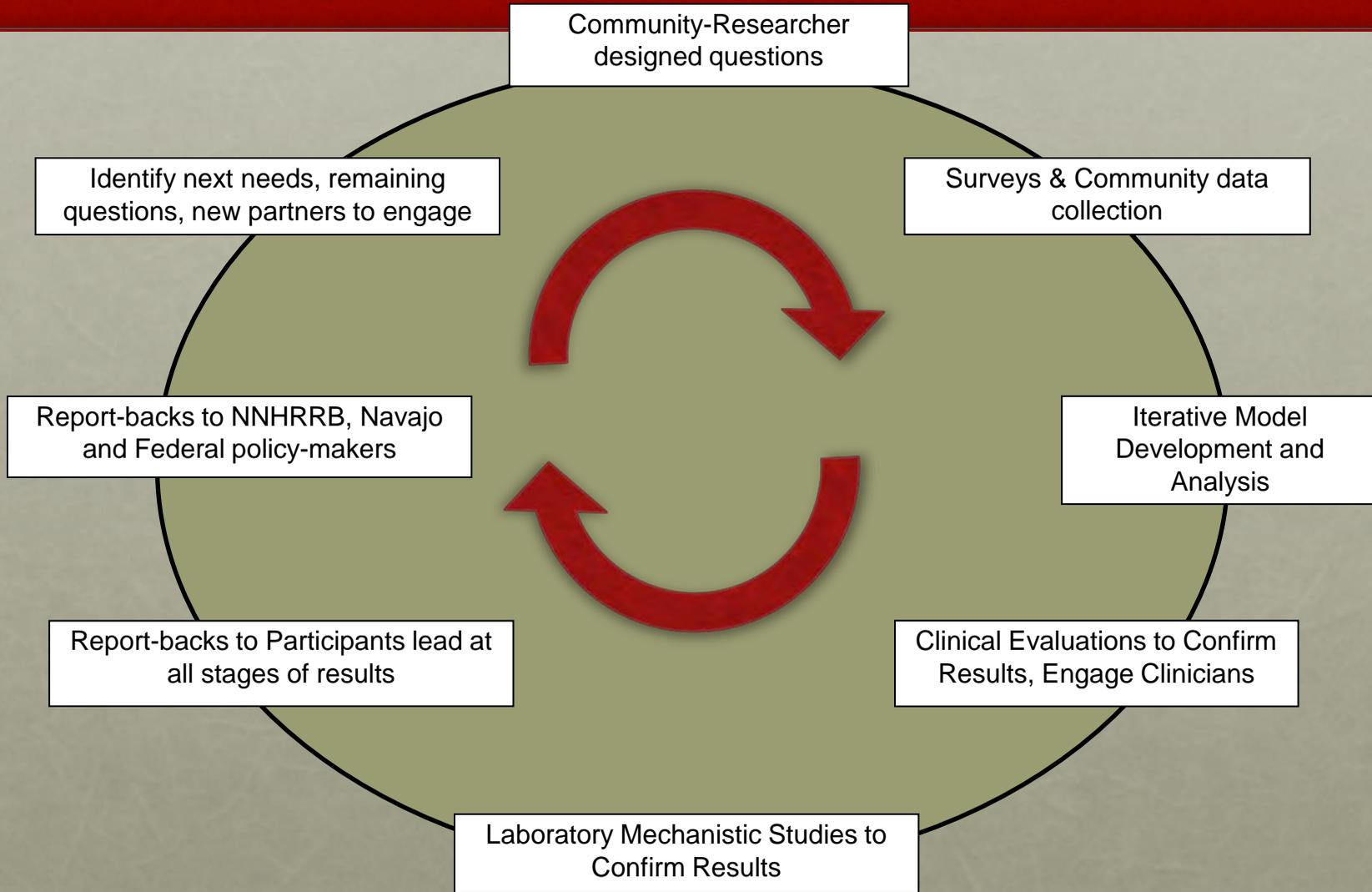
MCL = maximum contaminant level, EPA standard for safe drinking water

Reproductive and developmental concerns – tribal populations

- **50% higher prevalence for 8 tracked birth defects (Canfield et al., 2014)**
 - Doesn't count states with highest % tribal populations – small tribal *n*
 - No assessment of environmental risk factors
- **AI/AN historically higher rates of**
 - preterm birth,
 - low (and high) birthweight,
 - miscarriage,
 - stillbirth,
 - infant death
 - hypertensive disorders,
 - preeclampsia,
 - gestational diabetes
- **Generally not represented in existing prevalence or toxicity studies**
 - no comprehensive data on birth defects, autism, developmental delays – or culturally specific developmental norms

Combined Iterative Model

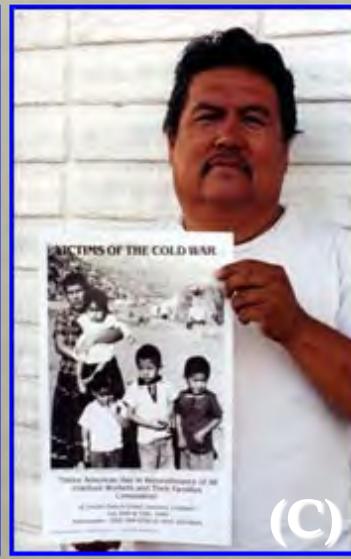
Research Approach Mirrors Navajo Learning method
Basis for original DiNEH Project and current Navajo Birth Cohort Study



Synthesized Research Model for DiNEH Project and Navajo Birth Cohort Study

DiNEH Project Results:

Active-mining era exposures (workers and family) increased risk of kidney disease



Active-mining related exposures were estimated from self-reported survey data

A: Washed the clothes of a uranium worker (22%)

B: Worked in a uranium mine (10%)*

C: Lived in a mining camp (4%)

D: Worked in a uranium mill (2%)*

E: Worked on a uranium mine or mill reclamation or hauled uranium ore or tailings in a pickup truck (2%)

**Many workers have already died from lung cancer, cohort had more family members than workers*



DiNEH Results:

Ongoing environmental legacy exposures → increased risk for hypertension, autoimmune disease; developing one and more chronic diseases



Exposures include the following activities:

- A: Used materials from abandoned uranium mine or mill (17%)
- B: Herded livestock next to uranium mine, mill or waste dump (13%)
- C: Drunk or contacted uranium mine waste water (13%)
- D: Played on a uranium tailings pile or waste dump (13%)
- E: Played outdoors near a uranium mine, mill, or waste dump (12%)
- F: Sheltered livestock in an abandoned uranium mine (2%)

**Note: Median length of residence in current homes was 33 years
Also a relationship with living near mines (up to 25% do not know).*



**Navajo Birth Cohort Study
2010-2017 – Congressional Mandate
Cooperating Organizations**



**Centers for Disease Control and
Prevention/Agency for Toxic
Substances and Disease Registry**

DiNEH Project Team

- UNM Community Environmental Health Program (CEHP)
- UNM Pediatrics Department, Center for Development and Disability
- Southwest Research and Information Center (SRIC)
- Consultants

Birth Cohort

**Navajo mothers,
fathers and
babies; other
community
members;
chapters**

**Navajo Area Indian
Health Service (NAIHS)**

**Navajo Nation
Department of Health**

With Help From

**Growing in Beauty
(developmental
disabilities services
provider)**

**PL93-638 Facilities
(Tséhootsooí, Tuba City)**

**Other Navajo Nation Agencies
(Environmental Protection Agency,
WIC, Health Education,
Office of Uranium Workers)**

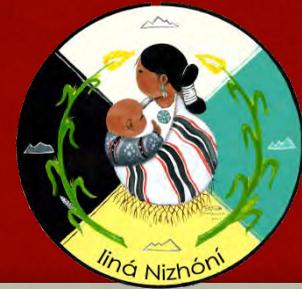
**USEPA
Region 9**

Body of work will result in data on three successive generations

- **Separate exposures during active mining from those to legacy waste**
- **Extensive exposure characterization:**
 - biomonitoring, home assessment, self-reported exposure, environmental monitoring
- **Clinical and developmental assessments**
- **Laboratory mechanistic studies**
- **Understanding of Exposure Pathways:**
 - Investigation of minerologic, physico/geochemical properties of waste affecting exposure & disease
- **Research to understand risk, inform regulatory action, prevention strategies**

Building the cohort

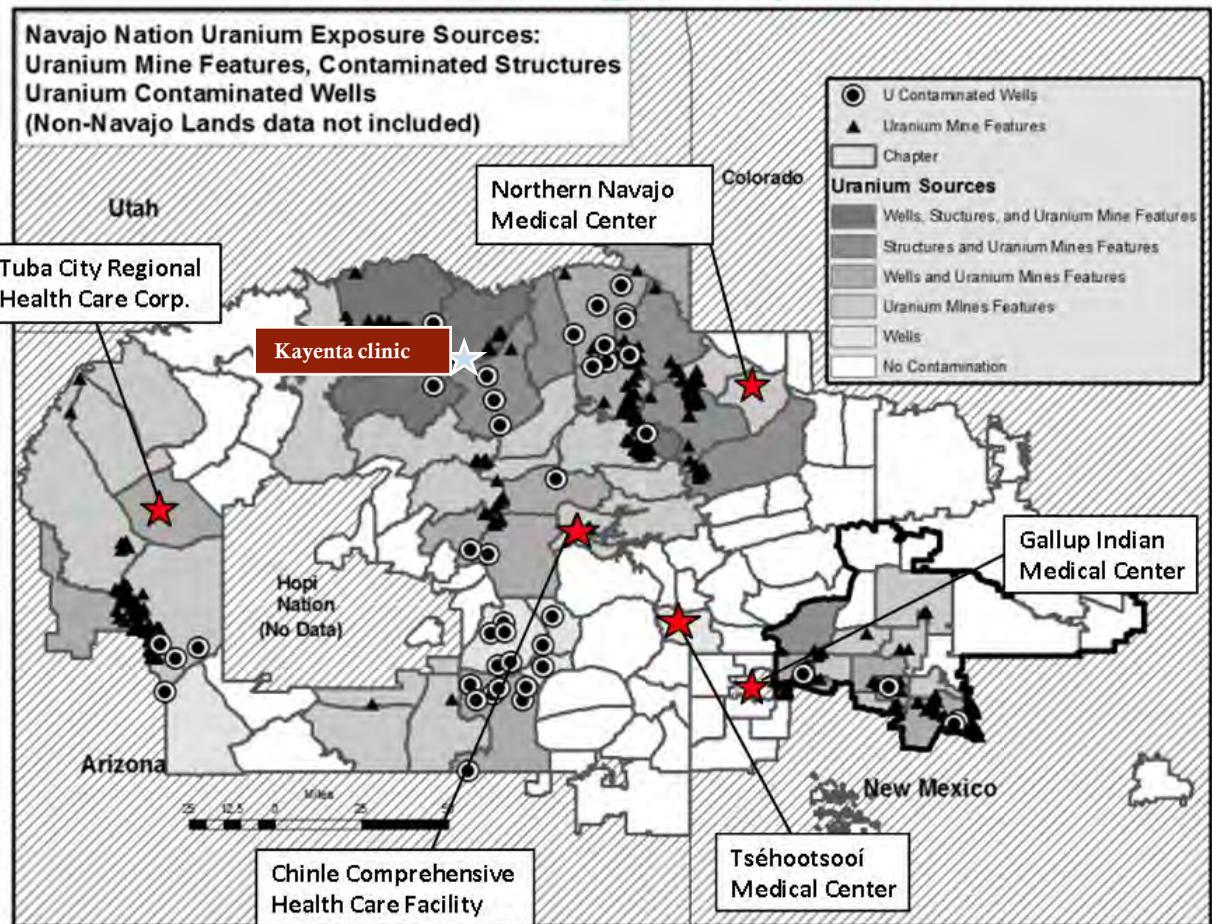
NBCS Birthing Hospitals in Relation to Principal Uranium Exposure Sources



NBCS Collaborating Delivery Hospitals

Navajo Area OB/GYN Birth Statistics (2009)
Self-Reported at Area and National Meetings
 (Source: NAIHS clinical staff)

| | |
|----------------------|--------------|
| Chinle | 541 |
| Tséhootsooí (638) | 459 |
| Gallup | 664 |
| Shiprock | 763 |
| Tuba City (638) | 519 |
| Total | 2,946 |



Note: Kayenta clinic designated by NAIHS as NBCS prenatal care facility; began enrollment October 2014, no deliveries

Outcomes Model Structure

EXPOSURE INPUTS

Uranium

Proximity, dust, occupation, water, land use

Survey, GPS, NURE data, Biomonitoring, Existing water quality, in-home dust, parent biomarker analyses

Radiation

Home scans

Biomonitoring, Existing data

Radon

In-home

Canister monitoring



MODIFIERS

Reproductive History

Mother and father

Survey & Medical Records

Nutritional Status

Mother

WIC, FFQ, Biomonitoring

Demographic Variables

Parental income, education, parental ages

Survey

Alcohol, Substance Abuse

Surveys, Meconium, Medical Record

Co-Exposures

Other metals, PAHs, Particulates, Sulfur Compounds

Biomonitoring, Surveys, Home Assessments

REPRODUCTIVE OUTCOMES

Reproductive Difficulty

Miscarriage, delivery complications

Medical Record

Low Birth Weight

Medical Record Review

Congenital Malformation

Medical Record, Survey

DEVELOPMENTAL OUTCOMES

Development: Behavior

communication, gross & fine motor skills, problem solving and personal social skills

ASQ-I & Mullen

Development: Physical

Length, weight, head circumference

Anthropometry

Development: Medical Infections, Morbidity, Mortality

Medical Record Review

Development: Biomarker

Inflammation, Immune system

Laboratory Analysis

Characterizing the cohort

Biomonitoring

Home Environmental Assessments

Environmental Monitoring

Biomonitoring in the NBCS

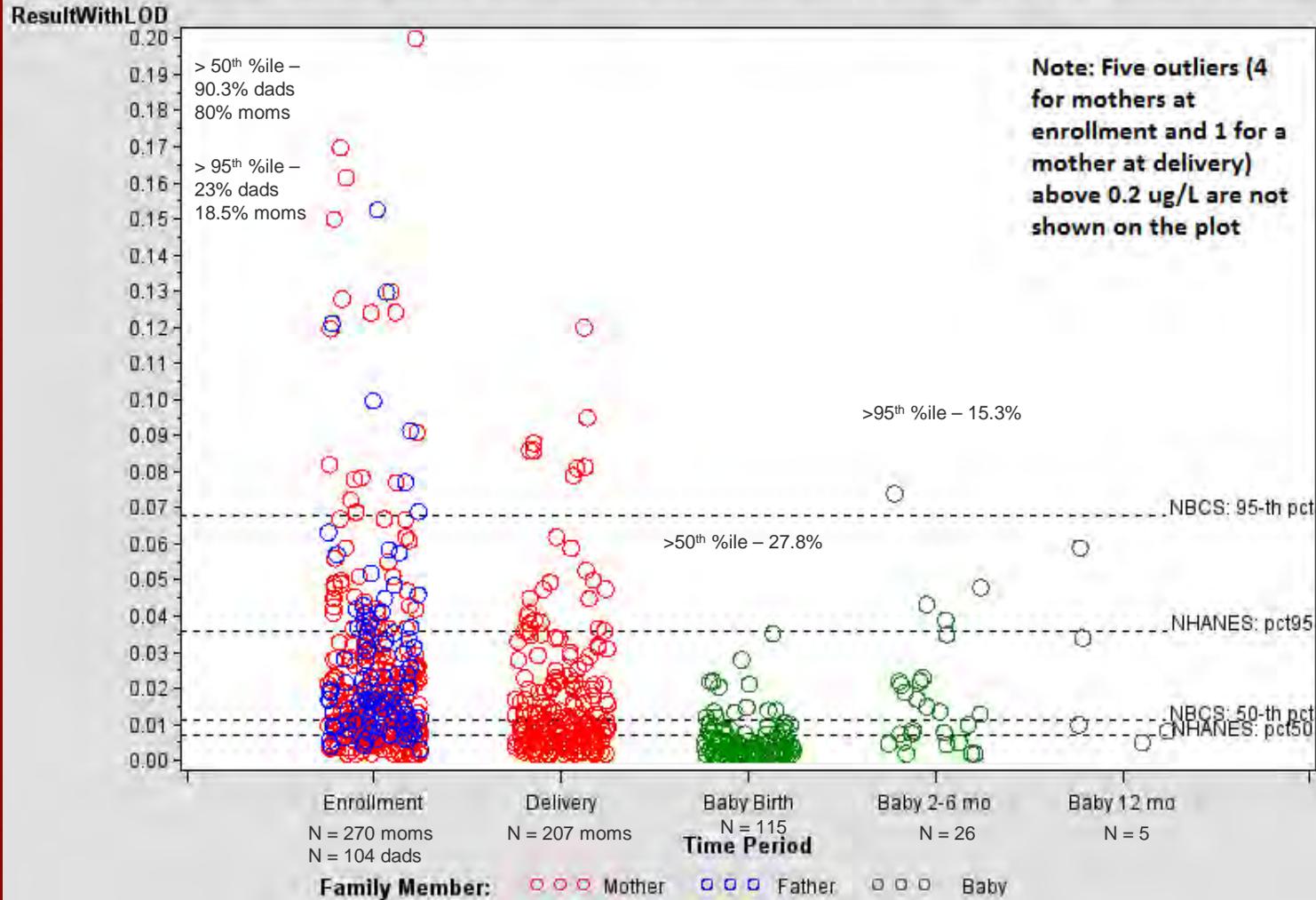
- Multiple time points and biological media
- CDC laboratory testing for 36 different metals/metalloids of interest including **arsenic, uranium, lead and mercury**

| | Blood | Urine | Meconium |
|--------|---|--|---|
| Mother | <ul style="list-style-type: none">➤ Enrollment➤ Delivery | <ul style="list-style-type: none">➤ Enrollment➤ Delivery | |
| Father | <ul style="list-style-type: none">➤ Enrollment | <ul style="list-style-type: none">➤ Enrollment | |
| Baby | <ul style="list-style-type: none">➤ Birth (cord blood)➤ 2-6 months of age➤ 12 months of age | <ul style="list-style-type: none">➤ Birth➤ 2-6 months of age➤ 12 months of age | <ul style="list-style-type: none">➤ Birth |

Uranium distributions consistent across all service units

NBCS Levels of UUR (Uranium - Urine), ug/L (LOD included)

Reference Lines (ug/L): NHANES (pct50 = 0.007, pct95 = 0.036), NBCS (pct50 = 0.011, pct95 = 0.068). LOD = 0.002 ug/L

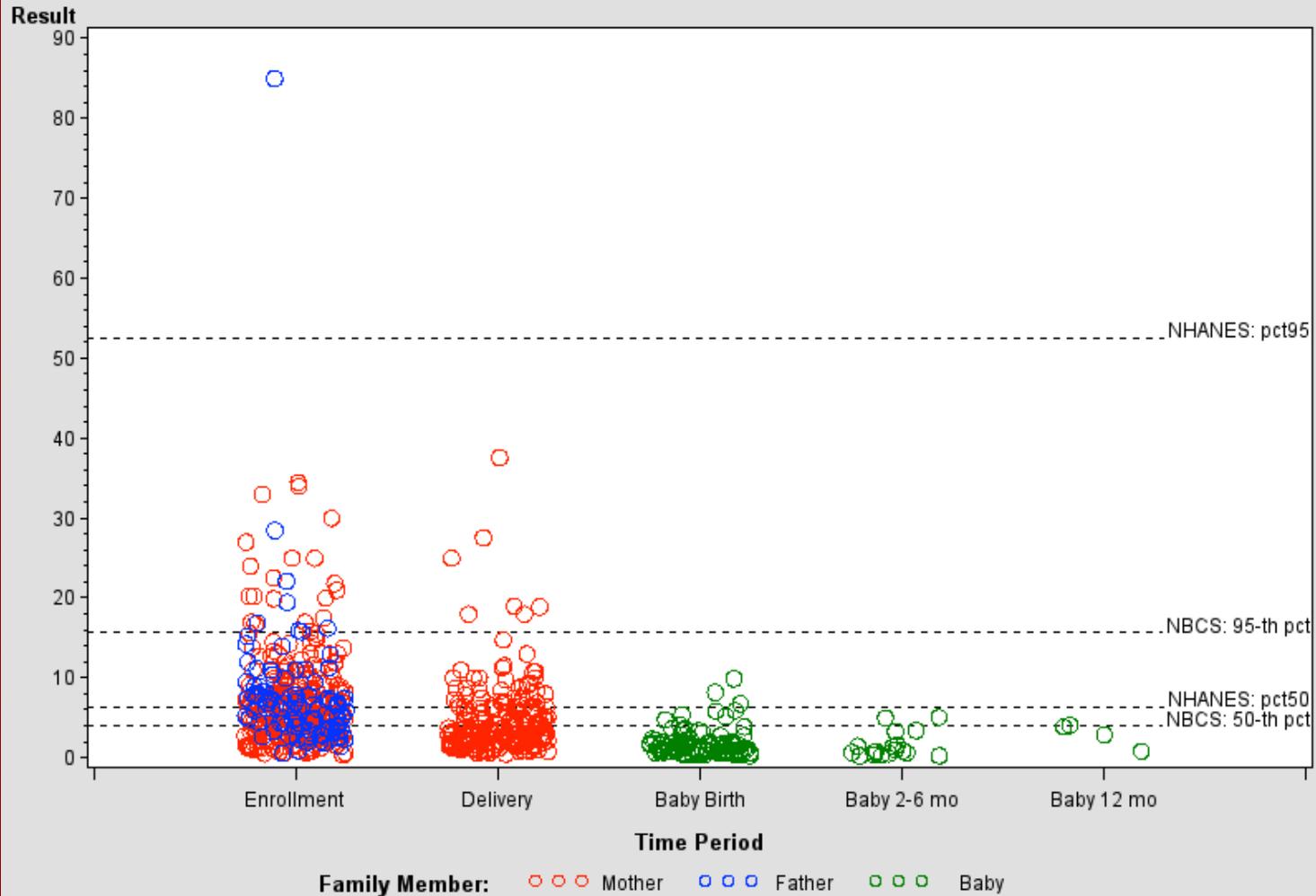


- Now have sufficient data to begin examining relationships between moms, dads, babies; babies over time; and relationships to exposure sources
- Cannot directly address placental transfer, U in urine at birth a concern
- Concern that babies exposures increase & at levels 3x greater than expected for adults (NHANES)

All percentile comparisons are to NHANES 2010-2011 adults – no infant comparisons found.

NBCS Levels of UTAS (Arsenic Total - Urine), ug/L

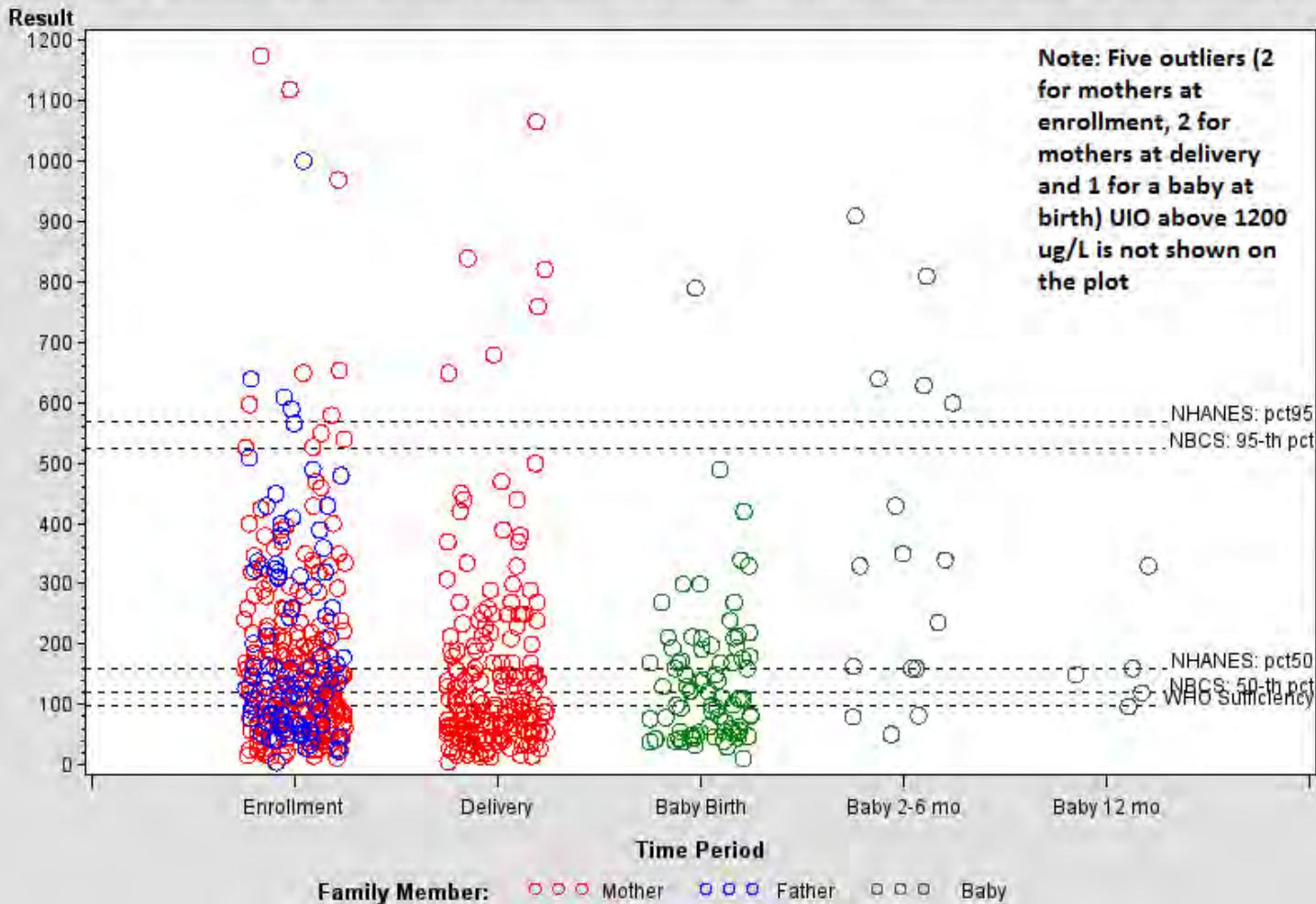
Reference Lines (ug/L): NHANES (pct50 = 6.31, pct95 = 52.5), NBCS (pct50 = 3.99, pct95 = 15.6). LOD = 0.26 ug/L



Levels lower than anticipated based on water and mine waste analyses

NBCS Levels of UIO (Iodine - Urine), ug/L

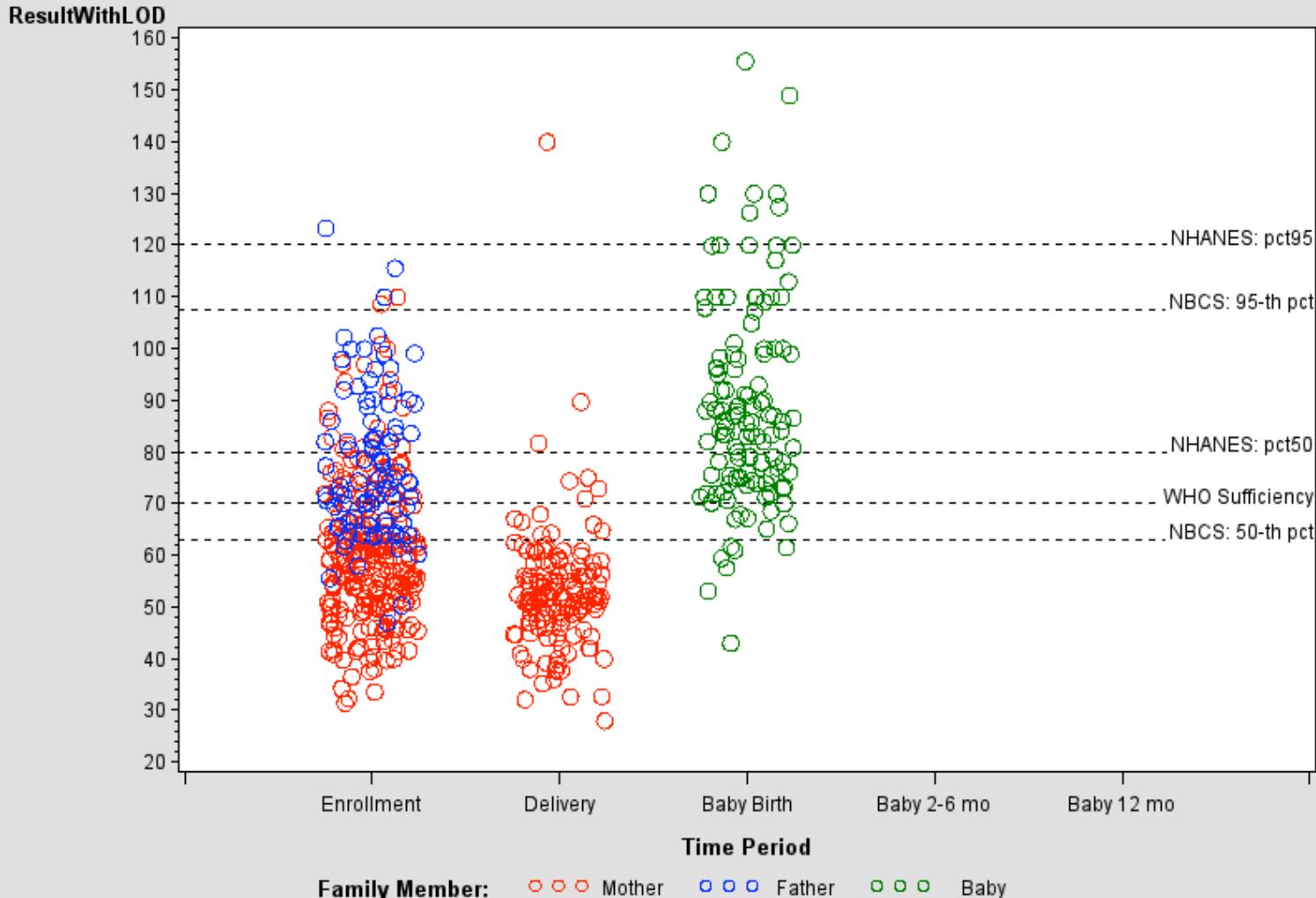
Ref.Lines (ug/L): NHANES (pct50 = 160, pct95 = 569), NBCS (pct50 = 120, pct95 = 525.2), WHO Sufficiency = 99. LOD = 1.6 ug/L



- Critical for thyroid function, normal organ & CNS development
- Highly variable analyte
- Best viewed as indicator of population sufficiency
- No prior data on Navajo
- Suggests potential for population deficit
- Preventable – supplementation, increased dairy, fish, oral supplements – Could be clinically addressed ASAP to improve birth outcomes
- But -- None of high-iodine foods in Navajo diet!

NBCS Levels of SZN (Zinc - Serum), ug/dL (LOD included)

Ref.Lines (ug/dL): NHANES (pct50 = 80, pct95 = 120), NBCS (pct50 = 63, pct95 = 107.48), WHO Sufficiency = 70. LOD = 2.9 ug/dL



- Serum zinc deficiency common in pregnancy
- Sufficiency of majority of babies and dads suggests serum not best marker of functional sufficiency
- VICTER: Hudson, Ho, Lewis – synergy of Zn deficiency, metal exposure, oxidative stress & DNA repair *in vitro*, *in vivo*, and in human samples

Parallel efforts

Understanding mechanisms of toxicity

Likely Exposure Pathways

Inflammation – Immunity – Metal exposure

(MacKenzie, Erdei, Ong, Rubin, Pollard)

- **Our previous tribal studies showed elevated ANA with As exposure**
 - (Ong et al., *Autoimmune Diseases*, 2014)
 - **Specific auto-antibodies consistent with environmental autoimmunity (e.g. drug-induced Lupus)**
- **Elevated prevalence of ANA in older DiNEH cohort (48% by clinical screen)**
- **NBCS - 20% of 14-45 yo sample ANA positive (M=F) (n=40)**
- **Unexpected in this age range**
 - **NHANES data – 13.9% prevalence** (Sato et al., *Arthritis and Rheumatism*, 2012)
 - **Hg study from NHANES data – 16%** (Somers et al., *EHP*, 2015)

DNA Damage

(Hudson, Cooper, Dashner, MacKenzie)

- Comet assays on samples from 36 NBCS mothers (mean age 26)
- Number of cells with damage increases with exposure
 - Urine As : Urine U interaction significant predictor of damage ($p=.0007$)
 - 3-way interaction shows Zn reduces synergistic increase in damage linked to As:U interaction ($p = 0.006$)
 - Indications that supplements *prior to* pregnancy are important in reducing damage
- VERY PRELIMINARY RESULTS!!! Replicating in additional samples
- Consistent with our laboratory studies
- Suggest protective intervention possible to reduce damage

Understanding transfer at soil:water and soil:air interface



Serafina Nez and her Mother Helen. Members of Tachee Uranium Concerns Committee that requested these analyses

Tachee/Blue Gap – community concerns about living with waste – historical developmental disabilities in children, consumption of contaminated water

(Cerrato, Blake, Shuey)



Abandoned Uranium Mine Waste (Northeastern Arizona):

Elevated **U** (6,614 mg kg⁻¹)

Co-occurring metals (e.g. **As**, **V**, **Fe**)

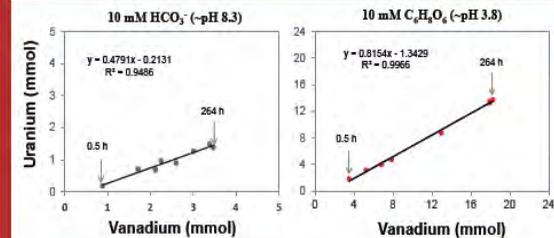
| | |
|-----------------------------|-------------------------|
| <u>U in Water (Spring):</u> | <u>EPA MCL for U</u> |
| 67 – 170 µg L ⁻¹ | > 30 µg L ⁻¹ |

METALS Monograph #1
Results presented to community, NNEPA, NNDOJ
→ USEPA Region 9
→ site now prioritized for clean-up



Soils: Batch extraction experiment

Uranium-Vanadate Phase



- Release of U was ~ 10 times lower with HCO₃⁻ than with C₆H₈O₇
 - Release of V was ~ 5 times lower with HCO₃⁻ than with C₆H₈O₇
 - Linear relationship between U and V release
- Reference: carbonate [U₂(VO₄)₂V₂O₇]



C.) Elemental mapping performed on MW1. Blue=Iron, Red=Uranium, Green=Vanadium, and Yellow reflects combined U and V.

Sites vary, are large, and extremely close to Communities

Affect Navajo and other tribes in west

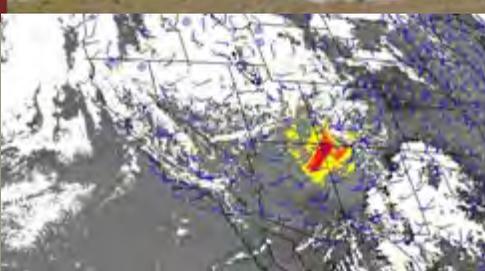


Photo montage shows spring winds resuspending dust from Old Church Rock Mine site (right) onto Navajo grazing lands (left), April 2003.

As Dust Storms increase, What IS In the Dust????



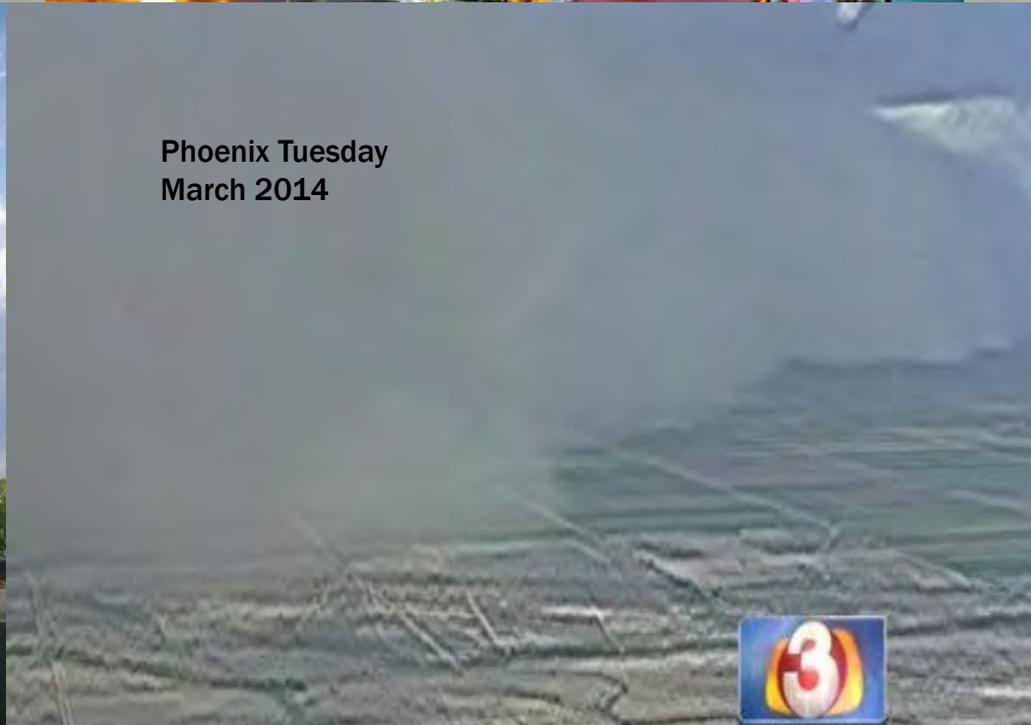
Phoenix 2012



California Valley



Phoenix Tuesday
March 2014

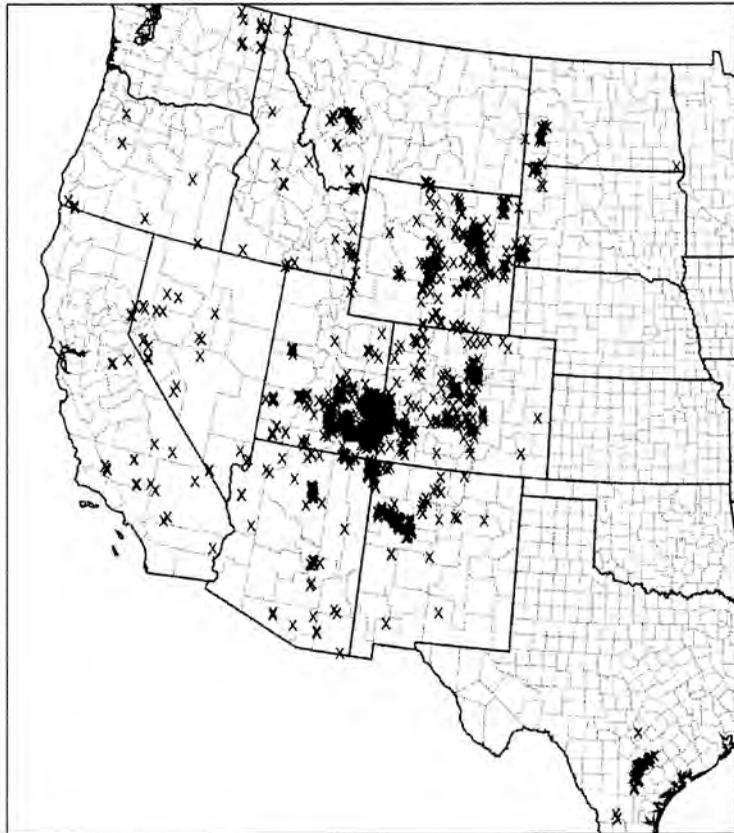


Phoenix Tuesday
March 2014



Tribal Lands and the Uranium Legacy

Uranium one of many minerals mined in Western U.S. primarily for weapons development.



Legend

x MAS/MILS Uranium Mines

Source of Mine Information:
EPA Uranium Location Database

Km
500



The Uranium Legacy of the Western U.S.

- USEPA estimates:
~10,400 abandoned uranium “mine features” in 15 western states
- U.S. Bureau of Mines estimates:
~4,100 discrete uranium mines

Source: <http://www.epa.gov/rpdweb00/tenorm/uranium.html>

GAO testimony in House – 2011

- 161,000 abandoned hardrock mine sites in 12 Western states and Alaska
- 33,000 have degraded environment through contamination of surface and groundwater OR leaving arsenic-contaminated tailings
- 12 Western states and Alaska have the highest percentages of AI/AN population

Source: <http://www.gao.gov/assets/130/126667.pdf>

Is this OK??????????



10.09.2009

Thank you

*FROM THE NBCS TEAM!
UNM-CEHP, SRIC, NNDOH, NAIHS, CDC/ATSDR*